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JAMES D. RYNDAK
PATENT DOCKET CLERK
30 NORTH LASALLE STREET, SUITE 2630
CHICAGO, IL 60602

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/27/2001

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NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HANSA, JAMES D.

DOC DATE: 12/15/2000

ASSIGNOR:

HIBBS, ALICE H.

DOC DATE: 12/15/2000

ASSIGNOR:

SALISBURY, DONALD KENT

DOC DATE: 12/15/2000

ASSIGNEE:

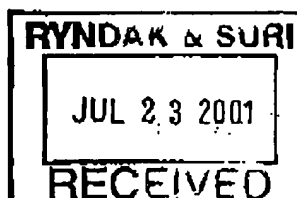
QUAKER OATS COMPANY, THE
321 NORTH CLARK STREET
CHICAGO, ILLINOIS 60610

SERIAL NUMBER: 09739857

FILING DATE: 12/18/2000

PATENT NUMBER:

ISSUE DATE:



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JEFFREY OLSEN, EXAMINER
ASSIGNMENT DIVISION
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05-07-2001

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

James D. Hansa
Alice H. Hibbs
Donald Kent Salisbury

4.27.01

2. Name and address of receiving party(ies):

Name: The Quaker Oats Company

Internal Address:

APR 27 2001

Street Address: 321 North Clark StreetCity: Chicago State: IL ZIP: 60610Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: December 15, 2000Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/739,857

B. Patent No.(s)

406

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patent Docket ClerkInternal Address: RYNDAL & SURIStreet Address: 30 North LaSalle Street, Suite 2630City: Chicago State: IL ZIP: 606026. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0503

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James D. Ryndak

Name of Person Signing

Signature

April 25, 2001

Date

4

Total number of pages including cover sheet, attachments, and document:

ASSIGNMENT

IN CONSIDERATION of One Dollar and other good and valuable considerations, the sufficiency and receipt of which is hereby acknowledged, we, James D. Hansa, Alice H. Hibbs, and Donald Kent Salisbury, hereby assign to THE QUAKER OATS COMPANY (hereinafter "Assignee"), a corporation of the State of New Jersey, having its principal office at 321 North Clark Street, Chicago, Illinois 60610-4714, its successors and assigns, the entire right, title and interest in our invention and/or improvements in a utility patent application for OAT AND CORN PRODUCTS CONTAINING ADDED INGREDIENTS AND METHOD, as set forth in an application filed on the 18 day of December, 2000 and assigned Serial Number 09/739,857, attorney's docket number 40002-10083, executed by us, respectively, the 15 day of December, 2000, and the 15 day of December, 2000, and the 15 day of December, 2000 (the named Assignee being hereby authorized to insert said serial number, filing date, docket number, and execution dates when ascertained), including any improvements thereof and any and all divisional and continuation applications, and in any and all Letters Patents, reissues and extensions issuing thereon, and we hereby authorize and request the Commissioner of Patents and Trademarks to assign said Letters Patent to said THE QUAKER OATS COMPANY.

FOR SAID CONSIDERATIONS we hereby agree, upon the request and on behalf of said Assignee, its successors and assigns, to execute any and all divisional and continuation applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of said Letters Patent and any Letters Patent that may be granted upon any divisional and continuation applications that said Assignee, its successors or assigns, may deem necessary or expedient; and for the said considerations we further agree upon the request of said Assignee, its successors and assigns, in the event of said Letters Patent or any divisional or continuation thereof, or any Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in interference, to cooperate to the best of our ability with said Assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, we hereby agree to perform, upon such request and at the expense of said Assignee, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in the said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made. And for the said considerations we hereby assign to said THE QUAKER OATS COMPANY, its successors and assigns, the entire right, title and interest in said invention or improvements for any and all foreign countries and agree upon the request of said THE QUAKER OATS COMPANY, its successors and assigns, to execute any and all documents that shall be required of us, to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to vest title in said foreign applications and patents in said Assignee, without further consideration, but at the expense of said Assignee.

WITNESS my hand this 15 day of December, 2000.

Signature: James D. Hansa

Name: James D. Hansa

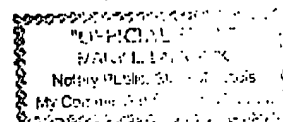
STATE OF Illinois)
) SS.
COUNTY OF McHenry)

On this 15 day of December, 2000, before a Notary Public in and for the County and State aforesaid, appeared James D. Hansa, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Mary L. Lamczyk
Notary Public

(SEAL)



WITNESS my hand this 15 day of December, 2000.

Signature: Alice H. Hibbs

Name: Alice H. Hibbs

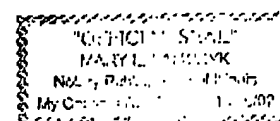
STATE OF Illinois)
) SS.
COUNTY OF McHenry)

On this 15 day of December, 2000, before a Notary Public in and for the County and State aforesaid, appeared Alice H. Hibbs, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Mary L. Lamczyk
Notary Public

(SEAL)



■■■

WITNESS my hand this 15 day of December, 2000.

Signature: Donald Kent Salisbury
Name: Donald Kent Salisbury

STATE OF Illinois)
) SS.
COUNTY OF Mc Henry)

On this 15 day of December, 2000, before a Notary Public in and for the County and State aforesaid, appeared Kent Salisbury, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Mary L. Hamczyk (SEAL)
Notary Public

